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#44-28-1  
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TECH CENTER 1600/2900

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lichtenhan, et al.

Docket No: 038559-0257945

Serial No.: 09/631,892

Filed: August 4, 2000

For: **PROCESS FOR THE FORMATION OF POLYHEDRAL OLIGOMERIC  
SILSESQUIOXANES**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

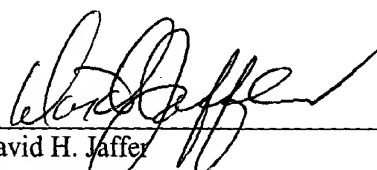
Dear Sir:

The enclosed Information Disclosure Statement (with attached Form PTO-1449) is submitted for filing in the above-identified application within three months of filing or before the mailing date of a first Office Action on the merits.

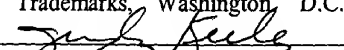
The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Please date-stamp and return the enclosed postcard as confirmation of receipt of the above materials.

Date: 6-18-01

  
\_\_\_\_\_  
David H. Jaffer  
Reg. No. 32,243

PILLSBURY WINTHROP LLP  
2550 Hanover Street  
Palo Alto, CA 94304-1115  
Telephone No.: (650) 233-4500

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231 on June 18, 2001 by Judy Keeley 



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TECH CENTER 1600/2900 PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph D. Lichtenhan, et al. Docket No: 38559-0257945  
Serial No: 09/631,892 Group Art Unit:  
Filed: August 4, 2000 Examiner:  
For: **PROCESS FOR THE FORMATION OF POLYHEDRAL OLIGOMERIC  
SILSESQUOXANES**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

The following sections are being submitted for this Information Disclosure Statement:

1. ☒ Preliminary Statements
2. ☒ Form PTO-1449 (modified)
3. ☐ Statement as to Information Material to Examination Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☐ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
8. ☐ Translation(s) of Non-English Language Documents
9. ☒ Identification of Person(s) Making This Information Disclosure Statement

Sections , respectively, have been continued on ADDED PAGE(S).

**Section 1. Preliminary Statements**

Applicant submits herewith patents, publications or other information of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 9. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
(signature of inventor)

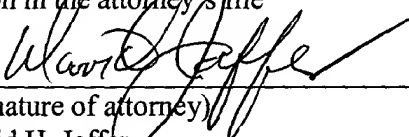
\_\_\_\_\_  
(typed name)

- (b) ☒ the attorney who signs below on the basis of:

- ☐ the information supplied by the inventor(s)  
☐ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
☒ the information in the attorney's file

Date

6-18-01

  
\_\_\_\_\_  
(signature of attorney)

David H. Jaffer  
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